

## 3 Description of light ion production cross sections and fluxes 4 on the Mars surface using the QMSFRG model

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9 **Abstract** The atmosphere of Mars significantly  
10 attenuates the heavy ion component of the primary  
11 galactic cosmic rays (GCR), however, increases the  
12 fluence of secondary light ions (neutrons, and hydro-  
13 gen and helium isotopes) because of particle produc-  
14 tion processes. We describe results of the quantum  
15 multiple scattering fragmentation (QMSFRG) model  
16 for the production of light nuclei through the distinct  
17 mechanisms of nuclear abrasion and ablation, coales-  
18 cence, and cluster knockout. The QMSFRG model is  
19 shown to be in excellent agreement with available  
20 experimental data for nuclear fragmentation cross  
21 sections. We use the QMSFRG model and the space  
22 radiation transport code, HZETRN to make predic-  
23 tions of the light particle environment on the Martian  
24 surface at solar minimum and near maximum. The  
25 radiation assessment detector (RAD) experiment will  
26 be launched in 2009 as part of the Mars Science Lab-  
27 oratory (MSL). We make predictions of the expected  
28 results for time dependent count-rates to be observed  
29 by the RAD experiment. Finally, we consider sensi-  
30 tivity assessments of the impact of the Martian atmo-  
31 spheric composition on particle fluxes at the surface.

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## Introduction

Human beings are scheduled to visit Mars in the 2030 to 2040 time-period. Prior to their arrival an accurate assessment of the radiation environment on the Mars surface is required. Particle flux distributions, which describe particle type (charge and mass),  $j$ , kinetic energy,  $E(\text{MeV/u})$ , and particle directions are necessary for a complete description of radiation fields in space because the use of dose equivalent carries large uncertainties in projecting risk of late effects from galactic cosmic rays (GCR) [1–3]. The primary GCR are well described by empirical models based on extensive measurements [4]. The description of the GCR on the planetary surface of Mars is expected to be more challenging because of larger amount of material ions traverse in the Mars atmosphere and backscatter of neutrons and other light ions from the Mars regolith [5]. The water content near the surface of Mars could play an important role in reducing the low-energy neutron components on Mars [5]. Nuclear fragmentation and energy loss processes are the main physical mechanisms in GCR transport. In this report we briefly summarize a quantum multiple scattering model of nuclear fragmentation (QMSFRG) and describe its extension to incorporate nuclear coalescence as a mechanism of light ion production. The RAD experiment will be launched in 2009 as part of the Mars Surface Lander (MSL). In this report we discuss predictions of the expected results for time dependent count-rates to be observed by RAD experiment on Mars, and evaluate the role of the altitude of a landing site and the atmospheric composition.

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66 **Methods**

## 67 Quantum fragmentation model

68 The QMSFRG is a fundamental approach rooted in  
69 the multiple scattering series for two heavy ions,  
70 which is solved within the impulse and eikonal  
71 approximation for the total momentum transfer vec-  
72 tor in order to obtain a closed-form solution to the  
73 abrasion cross section excitation spectrum [6–10]. For  
74 inclusive reactions where a single fragment originat-  
75 ing in the projectile is measured, closure is per-  
76 formed on the final target state with a momentum  
77 vector denoted  $\mathbf{p}_X$  used to represent these states. The  
78 reaction is assumed to proceed through the abrasion  
79 step producing a pre-fragment,  $F^*$  and fireball piece  
80 from the projectile-target overlap denoted,  $R$ , fol-  
81 lowed by the ablation step where the final projectile  
82 fragment,  $F$  is formed after nuclear de-excitation.  
83 The total momentum transfer is  $\mathbf{q} = \mathbf{p}_T - \mathbf{p}_X$  where  $\mathbf{p}_T$   
84 is the initial target momentum. The pre-fragment,  $F^*$   
85 excitation spectrum following nucleon or alpha parti-  
86 cle abrasion was found [7, 8] as an impact param-  
87 eter dependent convolution of the pre-fragment  
88 excitation response for a transition of the pre-frag-  
89 ment core from state  $n$  to  $n'$  and the project fireball  
90 response, averaged over the target ground-state wave  
91 function,  $|T\rangle$ ,

$$\frac{d\sigma}{dE_{F^*}} = \langle T | \int d^2q d^2b d^2b' e^{iq(b-b')} P_{n,n'}(b, b', E_{F^*}) \times \Lambda_{n,n'}(q, b, b', E_{F^*}) | T \rangle \quad (1)$$

93 where  $b$  ( $b'$ ) is the impact parameter, and  $q$  the  
94 momentum transfer. The abrasion response is defined  
95 as the quantum matrix elements for the interaction of  
96 the projectile fireball,  $R$  with the target,  $T$  after  
97 performing closure over the final fireball states  
98 (denoted  $|R\rangle$ )

$$\Lambda_{n,n'}(q, b, b', E_{F^*}) = \int \frac{d\mathbf{k}_R}{(2\pi)^3} \langle R' | Q_{RT}^+(b') | R \rangle \langle R | Q_{RT}(b) | R' \rangle \delta(E_i - E_f) \quad (2)$$

100 where the  $Q_{RT}$  represent the fireball-target profile  
101 operator, and  $\mathbf{k}_R$  the projectile fireball momentum  
102 vector. The abrasion-response represents a complicated  
103 many-body operator that is solved by approximation  
104 using closure over the target and fireball states for  
105 evaluating the pre-fragment distribution. The one-  
106 particle abrasion response has been evaluated using the

shell model response functions [8, 9]. The pre-fragment  
excitation is described in terms of the transition matrix

$$P_{n,n'}(b, b', E_{F^*}) = \langle F_n^* | Q_{F^*T}^+(b') | F_{n'}^* \rangle \langle F_{n'}^* | Q_{F^*T}(b) | F_n^* \rangle \quad (3)$$

where quantum matrix elements for the pre-fragment  
excitation are evaluated over the many-body profile  
operators,  $Q_{F^*T}$ . In the model a convolution approach  
is used to derive the multi-nucleon abrasion excitation  
spectrum from the single-fragmentation term [7, 8].  
The resulting excitation spectrum is broad with a shape  
similar to a log-normal distribution with mean energies  
from 20 to 30 MeV for one-nucleon removal. The in-  
puts to the model include the energy-dependent two-  
body t-matrix, density-dependent medium corrections  
to the nucleon–nucleon, cross sections, and single  
particle wave functions. These inputs are independent  
of the fragmentation data being considered.

The de-excitation of the pre-fragments in nuclear  
ablation is described in a stochastic process using a  
Master equation for de-excitation by particle emission  
[9, 10]. If  $f_b(E, t)$  is the probability of finding the nuclei  
 $b$  at time  $t$  with excitation energy  $E_b$  and  $P_{b,k}(E)$  be the  
probability that the nuclei,  $b$  will emit ion  $k$  with en-  
ergy  $E$ , then the Master equation is

$$\frac{df_b(E_b^*, t)}{dt} = \sum_j \int dE f_a(E_a^*, t) P_{a,j}(E) - \sum_k \int dE f_b(E_b^*, t) P_{b,k}(E) \quad (4)$$

The first-term on the right side of Eq. (4) corre-  
sponds to gains by decays of ion  $a$  emitting ion  $j$   
to form ion,  $b$ , and the second term from losses due to  
decays of ion  $b$  into ion  $c$  by emitting ion  $k$  where the  $j$   
(or  $k$ ) are light-particle emissions ( $n, p, d, t, h, \text{ or } \alpha$ ).  
The probability of finding the nuclei  $b$  at time  $t$  with  $E_b^*$   
can be divided into stable and unstable parts depend-  
ing on the lowest excitation energy of  $E_b^*$ , denoted  
 $\min[S_{bj}]$ ,

$$f(E_b^*, t) = g(E_b^*, t) + h(E_b^*, t) \quad (5)$$

where  $g$  and  $h$  denote the stable and unstable parts of  $f$ ,  
respectively. As  $t \rightarrow \infty$ , we have  $h \rightarrow 0$  such that

$$\lim_{t \rightarrow \infty} f(E_b^*, t) = g(E_b^*, \infty) \quad (6)$$

The probabilities for a single-step decay of nucleus  
 $a$ , are defined as

$$F_{a,j} = \int_0^{E^* - S_{a,j}} P_{a,j}(E) dE \quad (7)$$

147 with a stable daughter nuclei,  $b$  formed corresponding  
148 to the probability

$$G_{a,j} = \int_{E^* - S_{a,j} - \min[S_{b,k}]}^{E^* - S_{a,j}} P_{a,j}(E) dE \quad (8)$$

150 and an unstable daughter nuclei formed with  
151 probability

$$H_{a,j} = \int_0^{E^* - S_{a,j} - \min[S_{b,k}]} P_{a,j}(E) dE \quad (9)$$

153 These probabilities obey

$$F_{a,j} = G_{a,j} + H_{a,j} \quad (10)$$

155 and the normalization condition,  $\sum F_{a,j} = 1$ . Because  
156 only stable nuclei remain at long times, the differo-  
157 integral Eq. (4) is separated using the above definitions  
158 as

$$g_b(E_b^*, \infty) = g_b(E_b^*, 0) + \sum_j \int_0^\infty dt \int dE f_a(E_b^*, t) P_{a,j}(E) \quad (11)$$

160 and similarly

$$0 = h_b(E_b^*, 0) + \sum_j \int_0^\infty dt \int dE f_a(E_b^*, t) P_{a,j}(E) \quad (12)$$

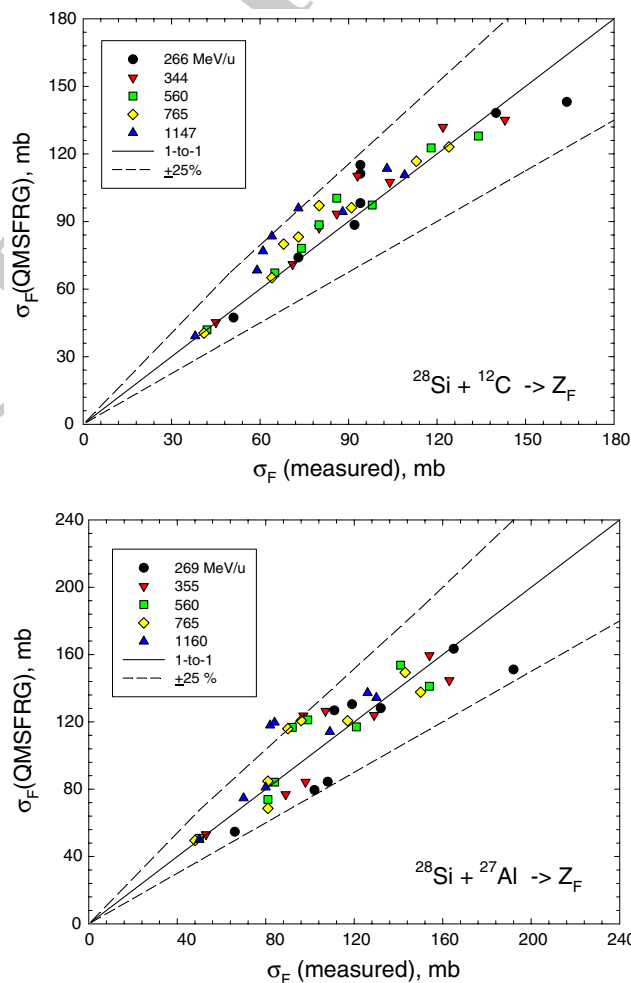
162 In evaluating fragmentation cross sections, Eqs. (11)  
163 and (12) are solved by iteration up to excitation ener-  
164 gies of 200 MeV [9, 10], and using the approximation  
165 of Campi and Hufner [11] at higher values. The use of  
166 the statistical decay model with accurate nuclear level  
167 densities that include nuclear shell structure effects and  
168 the use of measured values for the nuclear masses are  
169 important for accurately predicting the odd-even ef-  
170 fects in the fragment spectrum.

171 To estimate nuclear coalescence contributions to  $d$ ,  
172  $t$ ,  $h$ , and  $\alpha$  production we consider the momentum  
173 distribution for proton ( $p$ ) and neutron ( $n$ ) production  
174 in the QMSFRG model [10, 12], and apply the usual  
175 coalescence model [13], which consists of an  $A_n$ -fold  
176 folding of the  $p$ - and  $n$ - distribution to form a light ion  
177 of mass number  $A_n$ . The  $n$  and  $p$  production cross

sections are then reduced by the appropriate balancing  
of the cross sections resulting in light nuclei coales-  
cence. In these estimates only the contributions from  
abrasion momentum distributions is used.

Space radiation transport code

We use the HZETRN code of Wilson et al. [14, 15] in  
our predictions of the Mars surface. HZETRN solves  
for the spectrum of heavy ion fragment from projectile  
and target nuclei in the continuous slowing down and  
straight-ahead approximations. Integration over the  
Martian atmospheres is performed as described previ-  
ously [3]. We have modified the HZETRN code in  
several ways: First we have extended the ion grid to  
190-ions from the earlier 59-ion [13] or 170-ion [16]



**Fig. 1** Comparisons of fragmentation cross sections in QMSFRG model to experimental data [19] for  $^{28}\text{Si}$  fragmentation on C and Al targets at several energies. Correlations between model and data are shown for fragments with  $Z = 13$  to 7. Experimental errors are typically less than 10% as reported by Zeitlin et al. [17]

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**Table 1** Contributions to light ions production in  $^{16}\text{O}$  fragmentation in QMSFRG compared to experiment [18]

Secondary	QMSFRG theory						Experiment
	Abrasion	Coalescence	Cluster KO	E&M Diss	Ablation	Total	
$^{16}\text{O} + \text{H} \rightarrow$ Secondary at 2.1 GeV/u							
$n$	287.3	–	–	0.04	48.3	284.1	–
$p$	291.4	–	–	0.1	70.3	310.2	–
$^2\text{H}$	4.8	29.2	–	–	6.3	40.3	$152 \pm 23$
$^3\text{H}$	2.3	12.7	–	–	2.3	17.3	$55 \pm 11$
$^3\text{He}$	1.2	12.7	–	–	3.4	17.3	$50.2 \pm 5.7$
$^4\text{He}$	61.7	6.6	9.5	–	91.1	168.9	$221 \pm 20$
$^{16}\text{O} + ^{12}\text{C} \rightarrow$ Secondary at 2.1 GeV/u							
$n$	2692	–	–	0.8	350.5	2697	–
$p$	2781	–	–	1.2	465.9	2902	–
$^2\text{H}$	232.5	196.3	–	–	30.8	459.6	$406 \pm 36$
$^3\text{H}$	17.4	85.3	–	–	37.7	140.4	$151 \pm 11$
$^3\text{He}$	7.2	65.3	–	–	11.2	103.7	$136 \pm 7.6$
$^4\text{He}$	174.4	44.2	21.0	–	218	457.7	$474 \pm 42$
$^{16}\text{O} + \text{Cu} \rightarrow$ Secondary at 2.1 GeV/u							
$n$	6537	–	–	14.2	590.6	6459	–
$p$	6889	–	–	21.3	712.8	6941	–
$^2\text{H}$	380.8	386.9	–	–	49.7	817.4	$682 \pm 72$
$^3\text{H}$	27.1	168.0	–	–	55.2	250.3	–
$^3\text{He}$	10.6	168.0	–	–	16.0	194.7	–
$^4\text{He}$	256.4	87.1	35.7	–	316.5	695.6	$748 \pm 80$

192 grids with the ions selected after studying the fragment  
 193 spectra for most GCR nuclei for primaries of  $Z = 1$  to  
 194 28. Second we use the QMSFRG data base as de-  
 195 scribed earlier. Finally, we use the primary GCR model  
 196 of Badhwar et al. [4], but re-distribute the most  
 197 abundant element used in this work amongst the iso-  
 198 topic composition found for the primary GCR as de-  
 199 scribed in Cucinotta et al. [16].

## 200 Results and discussion

201 We first describe comparison of the QMSFRG model  
 202 to heavy ion fragmentation cross sections. Our recent  
 203 publication [16] made extensive comparisons of  
 204 QMSFRG to experimental cross sections for Ne, Mg,  
 205 Ar, and Fe. In Fig. 1 we show comparisons of the  
 206 correlations between QMSFRG and the recent data by  
 207 Zeitlin et al. [17] for  $^{28}\text{Si}$  fragmentation cross sections  
 208 at several energies for C and Al targets. As in our  
 209 earlier work, QMSFRG demonstrates good agreement  
 210 with fragmentation cross sections for projectile frag-  
 211 ments as function of target mass and beam energy with  
 212 more than 85% of the cross sections agreeing to within  
 213  $\pm 25$  of measurements. Light ions are produced by  
 214 several mechanisms including nuclear abrasion, abla-  
 215 tion, coalescence, cluster knockout, and electro-mag-  
 216 netic dissociation. In Table 1 we show contributions for  
 217 several of these mechanisms for  $^{16}\text{O}$  fragmentation on

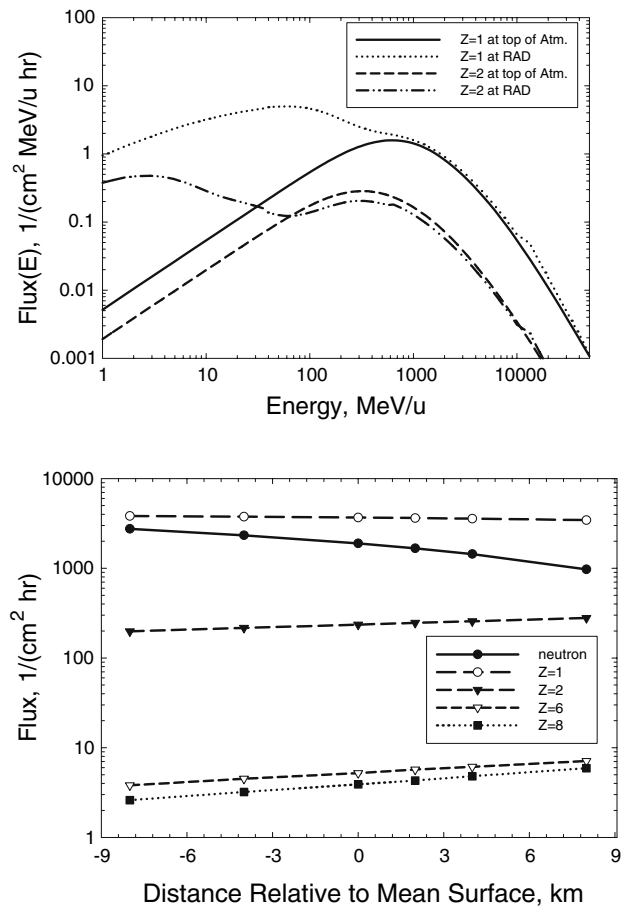
218 several targets and compare to the experimental data  
 219 of Olsen et al. [18]. Details on the experiments are  
 220 considered in these references. Coalescence is pre-  
 221 dicted to be a dominant mechanism for  $d$ ,  $t$ ,  $h$ , and  $\alpha$ -  
 222 particle production. Calculations include cluster  
 223 knockout only for  $\alpha$ -particle production and appear to  
 224 be most important for H and other light targets. The  
 225 differences between theory and experiment suggest  
 226 that studies of  $d$ ,  $t$ , and  $h$  cluster knockout maybe  
 227 warranted, especially for target fragmentation data  
 228 bases, and that improved models of nuclear coales-  
 229 cence are needed.

230 In Table 2 and Figs. 2 and 3 we show predictions for  
 231 the Mars surface. Table 2 shows the elemental fluence  
 232 at solar minimum where the solar modulation param-  
 233 eter,  $\Phi$  of 428 MV is used [4] and for the year 2010 a  
 234 modulation parameter of 1,000 MV. The value of  
 235 1,000 MV is chosen for the anticipated operation of  
 236 MSL, which will occur about 1-year before solar  
 237 maximum. The Mars atmosphere significantly depletes  
 238 the HZE components, and the  $Z = 1, 2$  ions will be the  
 239 main inducers of biological risk for astronauts occu-  
 240 pying the Mars surface. The angular view of RAD will  
 241 be no more than  $90^\circ$  because of the mass limitations on  
 242 MSL, and we show results for the complete horizon  
 243 and a restricted vertical horizon of  $60$  and  $90^\circ$  in Ta-  
 244 ble 2. The acceptance correction due to the detector  
 245 viewing angle has a slight dependence on the solar  
 246 modulation parameter because of the higher contri-

**Table 2** Projections of elemental GCR fluences

Charge group, Z	Fluence, 1/(cm <sup>2</sup> h) all angles	Fluence, 1/(cm <sup>2</sup> h) 90° viewing cone	Fluence, 1/(cm <sup>2</sup> h) 60° viewing cone
For RAD surface operations in 2010 ( $\Phi = 1,000$ MV)			
0	13841	4374	2751
1	11576	5756	3817
2	425	288	198
3	3.32	2.30	1.58
4	2.40	1.66	1.14
5	3.23	2.38	1.66
6	7.08	5.40	3.82
7	2.34	1.77	1.25
8	4.46	3.56	2.56
9	0.30	0.23	0.16
10	0.80	0.64	0.46
11	0.31	0.24	0.17
12	0.78	0.64	0.46
13	0.20	0.16	0.12
14–17	0.42	0.35	0.26
18–23	0.23	0.19	0.14
>23	0.18	0.16	0.12
Near solar minimum ( $\Phi = 428$ MV)			
0	24779	8131	5127
1	22552	11711	780
2	770	527	364
3	5.94	4.19	2.89
4	4.20	2.96	2.04
5	5.58	4.17	2.93
6	12.09	9.37	6.67
7	3.89	2.99	2.12
8	7.40	5.99	4.34
9	0.48	0.36	0.26
10	1.25	1.01	0.73
11	0.48	0.38	0.27
12	1.19	0.99	0.72
13	0.31	0.25	0.18
14–17	0.96	0.80	0.59
18–23	0.33	0.28	0.20
>23	0.25	0.22	0.17

247 butions of lower energy projectile nuclei at solar minimum.  
 248 In Fig. 2 we show results for the energy spectra and average fluence of light and heavy ions for different altitudes relative to the mean surface. The shape of the spectrum below 200 MeV/u changes dramatically due to production from GCR heavy ions or target atoms in the atmosphere. At high energies (above a few GeV/u) there is a slight build-up of  $Z = 1$  fragments predicted due to fragmentation of GCR nuclei. We have not included the backward neutron components in these comparisons, which significantly increase the neutron components below about 100 MeV and can lead to increases on more than one order of magnitude for neutrons below about 10 MeV especially for landing sites on Mars regolith. The HZETRN modifications presented elsewhere by Cloudsley et al. [5] to

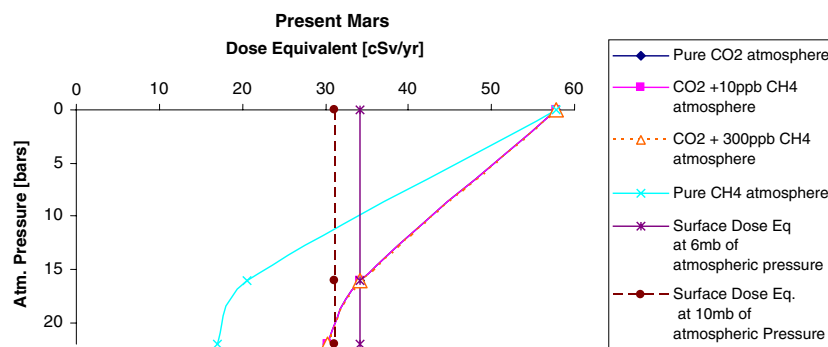


**Fig. 2** Upper panel shows calculations of the energy spectra of  $Z = 1$  and  $2$  ions incident on Mars and the average mean altitude projected for 2010 ( $\Phi = 1,000$  MV). The lower panel shows calculations of the total annual flux of  $Z = 0, 1, 2, 6,$  and  $8$  ions for different mean altitudes on Mars projected for 2010 ( $\Phi = 1,000$  MV)

include the back-scattered neutrons are in excellent agreement with Monte-Carlo codes; however, there are no measurements to-date to validate this code on the Mars surface.

The Mars atmosphere is largely  $\text{CO}_2$ , however, contains a small amount of other constituents [19, 20]. It is also of interest for improved understanding of the possible history of life on Mars to consider the evolution and possible changes of the Martian atmosphere and their impact on radiation effects for possible life in the past. Figure 3 makes predictions for several possible atmospheric conditions including a methane atmosphere or a mixed  $\text{CO}_2$  and  $\text{CH}_4$  atmosphere. The 100%  $\text{CH}_4$  atmosphere is included for reference. Along with the vertical height of the atmosphere, the mass contribution of hydrogen makes the most significant modulation of the surface radiation environment. The relative contributions from non-hydrogen atoms has only a small effect on the charged-particles ob-

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**Fig. 3** Calculations of the dependence of the Mars surface point dose equivalent on atmospheric composition and density. Different atmospheric mixtures are shown including the tentative detection of methane ( $\text{CH}_4$ ), which vary between 10 ppb

(parts per billion) and a maximum of 300 ppb of  $\text{CH}_4$ . Two theoretical end cases of a pure  $\text{CO}_2$  and pure  $\text{CH}_4$  atmosphere are shown for reference

282 served on the Mars surface, however, are expected to  
283 have a slightly larger dependence for the albedo neu-  
284 trons [5].

285 In summary, we have shown that the quantum  
286 multiple scattering model of nuclear fragmentation  
287 (QMSFRG) provides an accurate data base for GCR  
288 transport problem applications. It would be useful for  
289 additional fragmentation data to be obtained, including  
290 new data for Al and Ca fragmentation. Because the  
291 atmosphere of Mars represents one to two mean free  
292 paths for GCR heavy ions leading to buildup of light  
293 ion fragments, we have made predictions of the flux  
294 rates to be expected to be observed by RAD in 2010  
295 for light and heavy particles, which include the nuclear  
296 coalescence contribution to the cross sections. Because  
297 the integral flux of GCR nuclei changes slowly with  
298 atmospheric parameters or geographical location of  
299 Mars, measurements of charge-specific energy spectra  
300 between 10 and 200 MeV/u are shown to provide the  
301 optimal tests of radiation transport codes. Future work  
302 will aim to improve the calculation of cross sections in  
303 the coalescence and cluster knockout models [8, 12],  
304 include the albedo neutron and light ion contributions,  
305 and describe detailed geometric models of the RAD  
306 configuration on MSL in preparation for the data  
307 analysis phase of the experiment.

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